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Our Reference: SPM-190-A

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas Jung and Claus-Peter Klages  
Serial Number: 09/529,192  
Filing Date: April 6, 2000  
Examiner/Group Art Unit: Unknown/Unknown  
Title: METHOD AND DEVICE FOR SURFACE-TREATING SUBSTRATES

CERTIFICATE OF MAILING AND TRANSMITTAL LETTER

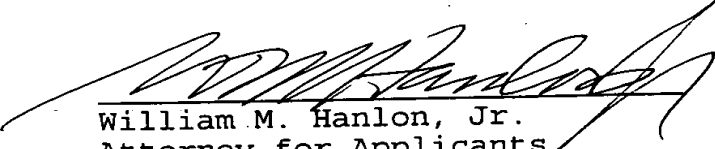
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Transmitted with this document are a Postcard, Information Disclosure Statement, Form PTO-1449, copy of an International Preliminary Search Report and eight references in the above-identified application.

- ☒ No additional fee is required.  
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Our Reference: SPM-290-A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Thomas Jung and Claus-Peter Klages  
Serial Number: 09/529,192  
Filing Date: April 6, 2000  
Examiner/Group Art Unit: Unknown/Unknown  
Title: METHOD AND DEVICE FOR SURFACE-TREATING SUBSTRATES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

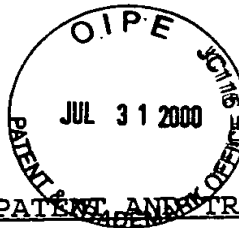
The references listed in the attached form PTO-1449 are cited pursuant to Rule 37 C.F.R. 1.56 to meet the duty to disclose to the Patent Office all information known to the inventors, attorney or any other person who is substantively involved in the preparation or prosecution of the application or who is associated with the inventors, with the assignee or with anyone to whom there is an obligation to assign the application.

The information may include:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of the patent application believe any pending claim patentably defines.

Pursuant to 37 C.F.R. § 1.98 this Information Disclosure Statement includes:

- (1) A list of all patents, publications or other information submitted for consideration by the Office, and
- (2) A legible copy of each U.S. and foreign patent, each publication or that portion which caused it to be listed, and all other information or that portion



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which caused it to be listed, except the ~~translating~~ copy of a U.S. patent application is included.

If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this Statement.

Applicants enclose a copy of the International Preliminary Examination Report from corresponding International Application No. PCT/EP98/05982.

These references constitute all the information of which the individuals pursuant to 37 C.F.R. § 1.56(c) are currently aware.

A copy of each cited reference is enclosed.

Respectfully submitted,

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